

Appendix 1:

Summary: Progress against External Regulatory Body Programme July 2022

2021-22 Performance Audit Work									
Estyn Inspections									
Title	Overview	Status		Position July 2021 (RAG)	Position January 2022 (RAG)	Position July 2022 (RAG)	Position July 2021 (Open/Closed)	Position Jan 2022 (Open/Closed)	Position July 2022 (Open/Closed)
Estyn Inspection	A report the Education Services of Cardiff Council	Report Issued February	Recommendations	N/A	N/A		N/A	N/A	Open: 4 Closed: 0
			Green	N/A	N/A	4			
			Amber	N/A	N/A	0			
			Red	N/A	N/A	0			
2020-21 Performance Audit Work									
Audit Wales Inspections									
Title	Overview	Status		Position July 2021 (RAG)	Position January 2022 (RAG)	Position July 2022 (RAG)	Position July 2021 (Open/Closed)	Position Jan 2022 (Open/Closed)	Position July 2022 (Open/Closed)
Financial Sustainability Assessment	An assessment undertaken as financial sustainability continues to be a risk to councils putting in place proper arrangements to secure value for money in the use of resources.	Report issued August 2021	Recommendations	N/A	3	3	N/A	Open: 1 Closed: 2	Open: 0 Closed: 3
			Green	N/A	3	3			
			Amber	N/A	0	0			
			Red	N/A	0	0			

Her Majesty's Inspectorate of Prisons (HMIP)

HMIP Inspection of Youth Offending Services	This inspection is part of a four-year programme of youth offending service (YOS) inspections. Cardiff YOS inspected and rated across three broad areas: the arrangements for organisational delivery of the service, the quality of work done with children sentenced by the courts, and the quality of out-of-court disposal work.	Report issued July 2020	Recommendations	12	12	12	Open: 7 Closed: 5	Open: 7 Closed: 5	Open: 5 Closed: 7
			Green	10	11	11			
			Amber	2	1	1			
			Red	0	0	0			

Care Inspectorate Wales (CIW)

Title	Overview	Status		Position July 2021 (RAG)	Position January 2022 (RAG)	Position July 2022 (RAG)	Position July 2021 (Open/Closed)	Position Jan 2022 (Open/Closed)	Position July 2022 (Open/Closed)
Risk Based Inspection of Cardiff Council Social Services	This risk based inspection was informed by concerns laid out in our letter to the Director of Social Services in 2019-2020. We reviewed how well children's social services has progressed on its journey of	Report issued February 2021	Recommendations	N/A	17	17	N/A	Open: 14 Closed: 3	Open: 12 Closed: 5
			Green	N/A	N/A	N/A			
			Amber	N/A	N/A	1			
			Red	N/A	N/A	N/A			

	improvement, and how well social services continue to help and support adults and children.								
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2019-20 Performance Audit Work

Audit Wales Inspections

Title	Overview	Status		Position July 2021 (RAG)	Position January 2022 (RAG)	Position July 2022 (RAG)	Position July 2021 (Open/Closed)	Position Jan 2022 (Open/Closed)	Position July 2022 (Open/Closed)
Review of Leisure Services	Examination of the extent to which the Council has acted in accordance with the sustainable development principle when taking steps to meet its Priority for 2019-20 to Support Sports, Leisure, Culture and Green Spaces.	Final report issued November 2020	Recommendations	6	6	6	Open: 6 Closed: 0	Open: 6 Closed: 0	Open: 6 Closed: 0
			Green	6	6	6			
			Amber	0	0	0			
			Red	0	0	0			
Financial Sustainability Assessment	A project common to all local councils that will assess financial sustainability in light of current and anticipated future challenges.	Final report issued 3rd April 2020.	Recommendations	2	2	2	Open: 0 Closed: 2	Open: 0 Closed: 2	Open: 0 Closed: 2
			Green	2	2	2			
			Amber	0	0	0			
			Red	0	0	0			

2018-19 Performance Audit Work

Audit Wales Inspections

Title	Overview	Status		Position July 2021 (RAG)	Position January 2022 (RAG)	Position July 2022 (RAG)	Position July 2021 (Open/Closed)	Position Jan 2022 (Open/Closed)	Position July 2022 (Open/Closed)
Review of Corporate safeguarding arrangements of Children	Review of the effectiveness of corporate safeguarding arrangements building on the study previously undertaken by the Auditor General in this area.	Final report issued 27 th June 2019 Presented to CYP Scrutiny Committee 24 th September 2019	Recommendations	9	9	9	Open: 8 Closed: 1	Open: 5 Closed: 4	Open: 3 Closed: 6
			Green	1	4	4			
			Amber	8	5	5			
			Red	0	0	0			
Well-being of Future Generations Examination - Transport and Clean Air Vision	Examination of the extent to which the Council has acted in accordance with the sustainable development principles when taking the following step: "Develop and launch a new Transport and Clean Air Vision for the City" which sits under the Council's Well-being objective of 'Cardiff grows in a resilient way'.	Final report issued 22 nd July 2019	Recommendations	15	15	15	Open: 1 Closed: 14	Open: 1 Closed: 14	Open: 1 Closed: 14
			Green	15	15	15			
			Amber	0	0	0			
			Red	0	0	0			
Delivering with Less - Environmental	Review of the arrangements the Council has put in	Final report issued 17 th	Recommendations	9	9	9	Open: 7 Closed: 2	Open: 7 Closed: 2	Open: 7 Closed: 2

Health Services	place to deliver environmental health services building on the study previously undertaken by the Auditor General as part of the 'delivering with less' themed studies. The review will seek to answer the question: Is the Council's environmental health service continuing to deliver its statutory obligations given the financial challenges?	September 2019.	Green	5	5	5			
			Amber	4	4	4			
			Red	0	0				
Review of the arrangements to support the Capital Ambition Delivery Programme	Review to consider whether the Council's arrangements to support the delivery of its four-year 'Capital Ambition' Delivery Programme enabling it to address its key financial and organisational challenges?	Final report issues 29 th April 2019	Recommendations	3	3	3	Open: 0 Closed: 3	Open: 0 Closed: 3	Open: 0 Closed: 3
			Green	3	3	3			
			Amber	0	0	0			
			Red	0	0	0			
2017-18 Performance Audit Work									
Audit Wales Inspections									
Title	Overview	Status		Position July	Position January	Position July	Position July 2021 (Open/Closed)	Position Jan 2022 (Open/Closed)	Position July 2022 (Open/Closed)

				2021 (RAG)	2022 (RAG)	2022 (RAG)			
Scrutiny: Fit for the Future	National review that explored arrangements for scrutiny in all 22 local authorities.	Final report issued July 2018	Recommendations	5	5	5	Open: 0 Closed: 5	Open: 0 Closed: 5	Open: 0 Closed: 5
			Green	5	5	5			
			Amber	0	0	0			
			Red	0	0	0			

Progress

Date	Total Recommendations	Total Open	Total Closed	% Open
July 2022	85	38	47	44%
January 2022	64	27	37	42%
July 2021	61	29	32	47%
January 2021	61	46	15	76%

Date	Total Recommendations	Green	Amber	Red	No RAG assigned
July 2022	85	58	11	0	16
January 2022	64	54	10	0	
January 2021	61	38	22	1	